

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

AppDynamics (NISS APM Tool)

2. DOD COMPONENT NAME:

Defense Counterintelligence and Security Agency

3. PIA APPROVAL DATE:

05/05/2026

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)

- ☐ From members of the general public
 ☒ From Federal employees
 ☐ from both members of the general public and Federal employees
 ☐ Not Collected (if checked proceed to Section 4)

b. The PII is in a: (Check one.)

- ☐ New DoD Information System
 ☐ New Electronic Collection
 ☒ Existing DoD Information System
 ☐ Existing Electronic Collection
 ☐ Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

AppDynamics Application Performance Monitoring (NISS APM) tool is used to monitor the performance of the National Industrial Security System (NISS) application servers and provide in-depth analysis into root causes for latency or outages of the application. It monitors user performance, database performance, system performance, application performance and network performance. Personal information is not collected as part of AppDynamics but has access to the following from NISS:

NISS is DCSA's electronic repository of industrial security facility clearance information. NISS data is indexed and retrieved by the name or Cage code associated with a NISP facility and provides users with a nationwide perspective on NISP facilities as well as facilities under DCSA oversight in the DoD conventional Arms, Ammunition, and Explosives (AA&E) program. All industrial security personnel use NISS to track industrial security facility clearances and actions in connection with any NISP or AA&E facility. For Key Management Personnel (KMP) and for Culpable individuals involved in Security violations, NISS collects first, middle, and last name, SSN, date of birth, place of birth (city, state, country), citizenship data, and personnel security clearance information.

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

The data is collected for metrics.

e. Do individuals have the opportunity to object to the collection of their PII? ☐ Yes ☒ No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

When users interact with the NISS system the data is seen by AppDynamics for performance metrics and is not accessed by the users themselves.

f. Do individuals have the opportunity to consent to the specific uses of their PII? ☐ Yes ☒ No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

When users interact with the NISS system the data is seen by AppDynamics for performance metrics and is not accessed by the users themselves.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

- ☐ Privacy Act Statement
 ☐ Privacy Advisory
 ☒ Not Applicable

AppDynamics does not interface directly with NISS users.

h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component?
(Check all that apply)

- | | | |
|--|----------|----------------------|
| ☐ Within the DoD Component | Specify. | <input type="text"/> |
| ☐ Other DoD Components (i.e. Army, Navy, Air Force) | Specify. | <input type="text"/> |
| ☐ Other Federal Agencies (i.e. Veteran's Affairs, Energy, State) | Specify. | <input type="text"/> |
| ☐ State and Local Agencies | Specify. | <input type="text"/> |
| ☐ Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.) | Specify. | <input type="text"/> |
| ☐ Other (e.g., commercial providers, colleges). | Specify. | <input type="text"/> |

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

- | | |
|--|---|
| ☐ Individuals | ☐ Databases |
| ☒ Existing DoD Information Systems | ☐ Commercial Systems |
| ☐ Other Federal Information Systems | |

NISS

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

- | | |
|---|--|
| ☐ E-mail | ☐ Official Form (Enter Form Number(s) in the box below) |
| ☐ In-Person Contact | ☐ Paper |
| ☐ Fax | ☐ Telephone Interview |
| ☒ Information Sharing - System to System | ☐ Website/E-Form |
| ☐ Other (If Other, enter the information in the box below) | |

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

☐ Yes ☒ No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpclld.defense.gov/Privacy/SORNs/>
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date.

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

Because NISS APM (AppDynamics) monitors NISS, the system is following NISS policy regarding SORN:
As discussed with the Program Managers of NISS, it was determined that the NISS IT system does not meet the definition of a Privacy Act System of Records; therefore there's no requirement to establish a NISS standalone System of Records Notice.
When data is retrieved from NISS, the records are not retrieved by PII of key management personnel. Data is only retrieved by company name or cage code associated with a NISP facility. Records are company based, and the data unique to key management personnel is contained within the company's record. There is no functionality in NISS to search by individual user information or SSN.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority.

DAA-0446-2017-0001

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

No retention instructions as the data is inadvertently collected through monitoring and not used.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
- (2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).
 - (a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.
 - (b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.
 - (c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

E.O. 12829, National Industrial Security Program (NISP); 32 CFR Part 117, National Industrial Security Program Operating Manual; DoD Instruction 5220.22, National Industrial Security Program; and E.O. 9397 (SSN), as amended.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

☐ Yes ☒ No ☐ Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

NISS APM (App Dynamics) monitors NISS application metrics with data retained in NISS. The system itself is not collecting data from members of the public.